

No.

9400091



# THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

## Pioneer Hi-Bred International, Inc.

Whereas, THERE HAS BEEN PRESENTED TO THE

Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED NOVEL VARIETY OF SEXUALLY REPRODUCED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HERETOFORE ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF eighteen YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF Viable BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE RIGHT TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR IMPORTING IT, OR EXPORTING IT, OR USING IT IN PRODUCING A HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT PROVIDED BY THE PLANT VARIETY PROTECTION ACT (STAT. 1942, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

CORN

'PHAAO'

In testimony whereof, I have hereunto set  
my hand and caused the seal of the Plant  
Variety Protection Office to be affixed  
at the City of Washington, D.C.  
this 31st day of August in  
the year of our Lord one thousand nine  
hundred and ninety-four.

Attest:

Kenneth A. Brown  
Commissioner  
Plant Variety Protection Office  
Agricultural Marketing Service

Mike E. Jones  
Secretary of Agriculture

U.S. DEPARTMENT OF AGRICULTURE  
AGRICULTURAL MARKETING SERVICE

**APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE**

(Instructions on reverse)

Application is required in order  
determination if a plant variety protection  
certificate is to be issued. If a U.S.G. is  
information is held confidential. A U.S.G.  
is issued 17 U.S.C. § 242b.

1. NAME OF APPLICANT(S) (as it is to appear on the Certificate)		2. TEMPORARY DESIGNATION OR EXPERIMENTAL NO.	3. VARIETY NAME
PIONEER HI-BRED INTERNATIONAL, INC.		PHAAO	
4. ADDRESS (street and no. or P.O. no., city, state, and ZIP) Research and Product Development Division P. O. Box 85 Johnston, IA 50131-0085		5. PHONE (include area code) 515/270-3300	FOR OFFICIAL USE ONLY PVPO NUMBER  9400091
6. GENUS AND SPECIES NAME Zea Mays		7. FAMILY NAME (Botanical) Gramineae	FILING Date Feb. 07, 1994 Time 11:03 AM
8. CROP KIND NAME (Common Name) Corn		9. DATE OF DETERMINATION February 4, 1991	RECEIVED Date Feb. 3, 1994 Certification Fee \$ 275.00
10. IF THE APPLICANT NAMED IS NOT A "PERSON," GIVE FORM OF ORGANIZATION (Corporation, partnership, association, etc.) Corporation		11. IF INCORPORATED, GIVE STATE OF INCORPORATION IOWA	
		12. DATE OF INCORPORATION May 6, 1926	13. NAME AND ADDRESS OF APPLICANT REPRESENTATIVE(S), IF ANY, TO SERVE IN THIS APPLICATION AND RECEIVE ALL PAPERS Dr. Bruce D. McBratney Research and Product Development Division Pioneer Hi-Bred International, Inc. P.O. Box 85, Johnston, IA 50131-0085
		PHONE (include area code) 515/270-3546	
14. CHECK APPROPRIATE BOX FOR EACH ATTACHMENT SUBMITTED (Follow INSTRUCTIONS on reverse)			
<input checked="" type="checkbox"/> Exhibit A. Origin and Breeding History of the Variety. <input checked="" type="checkbox"/> Exhibit B. Novelty Statement. <input checked="" type="checkbox"/> Exhibit C. Objective Description of Variety. <input checked="" type="checkbox"/> Exhibit D. Additional Description of Variety. <input checked="" type="checkbox"/> Exhibit E. Statement of the Basis of Applicant's Ownership. <input checked="" type="checkbox"/> Seed Sample (2,500 viable untreated seeds. Date Seed Sample mailed to Plant Variety Protection Office _____) <input checked="" type="checkbox"/> Filing and Examination Fee (\$2,150) made payable to "Treasury of the United States." 1-31-94			
15. DOES THE APPLICANT(S) SPECIFY THAT SEED OF THIS VARIETY BE SOLD BY VARIETY NAME ONLY AS A CLASS OF CERTIFIED SEED? (See section 63(a) of the Plant Variety Protection Act.) <input type="checkbox"/> YES / <input checked="" type="checkbox"/> NO (If "NO," answer Items 16 and 17 below) <input checked="" type="checkbox"/> NO (If "NO," skip to Item 16 below)			
16. DOES THE APPLICANT(S) SPECIFY THAT THIS VARIETY BE LIMITED AS TO NUMBER OF GENERATIONS? <input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> FOUNDATION <input type="checkbox"/> REGISTERED <input type="checkbox"/> CERTIFIED			
17. IF "YES" TO ITEM 16, WHICH CLASSES OF PRODUCTION BEYOND BREEDER SEED?			
18. DID THE APPLICANT(S) PREVIOUSLY FILE FOR PROTECTION OF THE VARIETY IN THE U.S.? <input type="checkbox"/> YES / <input checked="" type="checkbox"/> NO <input type="checkbox"/> Plant Variety Protection Act <input type="checkbox"/> Patent Act. Give date: _____			
19. HAS THE VARIETY BEEN RELEASED, USED, OFFERED FOR SALE, OR MARKETED IN THE U.S. OR OTHER COUNTRIES? <input type="checkbox"/> YES / <input checked="" type="checkbox"/> NO (Give names of countries and dates)			
20. The applicant(s) declare(s) that a viable sample of basic seeds of this variety will be furnished with the application and will be replenished upon request in accordance with such regulations as may be applicable. The undersigned applicant(s) is (are) the owner(s) of this sexually reproduced novel plant variety, and believe(s) that the variety is distinct, uniform, and stable as required in section 41, and is entitled to protection under the provisions of section 42 of the Plant Variety Protection Act. Applicant(s) is (are) informed that false representation hereto can jeopardize protection and result in penalties.			
SIGNATURE OF APPLICANT (Owner(s)) PIONEER HI-BRED INTERNATIONAL, INC.		CAPACITY OR TITLE	DATE
SIGNATURE OF APPLICANT (Owner(s)) Bruce D. McBratney		CAPACITY OR TITLE Technical Support Coord.	DATE January 31, 1995

## 14A. Exhibit A. Origin and Breeding History

Pedigree: PHW03/PHJ40)X72242331

Pioneer line PHAA0, Zea mays L., a yellow corn inbred, was developed by Pioneer Hi-Bred International, Inc. from the single cross PHW03 x PHJ40 using the pedigree method of breeding. The progenitors of PHAA0 are proprietary inbred lines of Pioneer Hi-Bred International, Inc. Selfing and selection were practiced within the above F1 cross for 6 generations in the development of PHAA0 at Grand Forks, ND. During line development, crosses were made to inbred testers for the purpose of estimating the line's combining ability. Yield trials were grown at Grand Forks, ND, as well as other other Pioneer research stations. After initial testing, additional hybrid combinations have been evaluated and subsequent generations of the line have been grown and hand-pollinated with observations made for uniformity.

PHAA0 has shown uniformity and stability for all traits as described in Exhibit C - "Objective Description of Variety". It has been self-pollinated and ear-rowed 3 generations with careful attention paid to uniformity of plant type to assure genetic homozygosity and phenotypic stability. The line has been increased both by hand and in isolated fields with continued observations for uniformity.

No variant traits have been observed or are expected in PHAA0.

The criteria used in the selection of PHAA0 were yield, both per se and in hybrid combinations; kernel size, especially important in production; ability to germinate in adverse conditions; number of tillers, especially important in production because having numerous tillers increases hybrid production costs spent on detasseling; disease and insect resistance; pollen yield; tassel size; pollen shed duration.

## DEVELOPMENTAL HISTORY FOR PAA0

<u>SEASON/YEAR</u>	<u>INBREEDING LEVEL</u>
Summer 1986	F0
Winter 1987	F1
Summer 1987	F2
Winter 1988	F3
Summer 1988	F4
Summer 1989	F5
Summer 1990	F6
Winter 1991	F7*
Summer 1991	F8
Winter 1992	F9
Summer 1992	F10*

\*PHAA0 was selfed and selected through F7 generation.

\*\*PHAA0 was selfed and ear-rowed from F8 through F10 generations.

## 14B. Exhibit B. Novelty Statement

PHAA0 is similar to the Pioneer Hi-Bred International, Inc. proprietary inbred line PHJ40 (PVP Certificate No. 8600133). PHAA0 has a slight tendency to develop two ears whereas PHJ40 develops only one ear per stalk. PHAA0 has few marginal waves and longitudinal creases compared to PHJ40 which has no marginal waves or longitudinal creases. PHAA0 has a tassel branch angle from the central spike of greater than 45 degrees whereas PHJ40 has a tassel branch angle from the central spike of less than 30 degrees. PHAA0 has light green fresh husk color whereas PHJ40 has dark green fresh husk color.

PHAA0 has higher yield and grain harvest moisture but lower test weight than PHJ40. PHAA0 has better seedling vigor and higher early stand count than PHJ40. PHAA0 has significantly better brittle stalk resistance than PHJ40.

EXHIBIT NO. C

## VARIETY DESCRIPTION INFORMATION

INBRED = PHAA0

Type: Dent

Region Best Adapted: Most Regions

A. Maturity: Average across maturity zones. Zone : 0

Heat Unit Shed: 1210

Heat Unit Silk: 1220

No. Reps: 19

$$\text{HEAT UNITS} = \frac{\text{[Max.Temp. } (< 86^{\circ}\text{F.}) + \text{ Min. Temp. } (> 50^{\circ}\text{F.})]}{2} - 50$$

\* If maximum is greater than 86 degrees fahrenheit, then 86 is used and if minimum is less than 50, then 50 is used. Heat units accumulated daily and can not be less than 0.

## B. Plant Characteristics:

Plant height (to tassel tip): 196 cm

Length of top ear internode: 12 cm

Number of ears per stalk: Slight two ear tendency.

Ear height (to base of top ear): 65 cm

Number of tillers: None

Cytoplasm type: Normal

## C. Leaf:

Color: (B14) Dark Green

Angle from Stalk: 30 - 60 degrees

Marginal Waves: (WF9) Few

Number of Leaves (mature plants): 17

Sheath Pubescence: (W22) Light

Longitudinal Creases: (OH56A) Few

Length (Ear node leaf): 69 cm

Width (widest point, ear node leaf): 9 cm

## D. Tassel:

Number lateral branches: 3  
Branch Angle from central spike: > 45 degrees  
Pollen Shed: light based on Pollen Yield Test  
(69% of experiment means)  
Peduncle Length (top leaf to basal branches): 18 cm  
Anther Color: Yellow  
Glume Color: Green

## E. Ear (Husked Ear Data Except When Stated Otherwise):

Length: 15 cm  
Weight: 132 gm  
Mid-point Diameter: 24 mm  
Silk Color: Yellow  
Husk Extension (Harvest stage): Medium (barely covering ear)  
Husk Leaf: Short (< 8 cm)  
Taper of Ear: Average  
Position of Shank (dry husks): Upright  
Kernel Rows: Straight Distinct Number = 14  
Husk Color (fresh): Light Green  
Husk Color (dry): Buff  
Shank Length: 16 cm  
Shank (No. of internodes): 8

## F. Kernel (Dried):

Size (from ear mid-point)  
Length: 12 mm  
Width: 8 mm  
Thick: 5 mm  
Shape Grade (% rounds): 20-40% (29% medium round based on Parent  
Test Data)  
Pericarp Color: Colorless  
Aleurone Color: Homozygous Yellow  
Endosperm Color: Yellow  
Endosperm Type: Normal Starch  
Gm Wt/100 Seeds (unsized): 30 gm

## G. Cob:

Diameter at mid-point: 24 mm  
Strength: Strong  
Color: Red

H. Diseases:

Common Rust (P. sorghi): Intermediate  
Stewart's Wilt (E. stewartii): Resistant  
Head Smut (S. reiliana): Highly Resistant  
Fusarium Ear Mold (F. moniliforme): Highly Resistant  
Gibberella Ear Rot (G. zae): Susceptible

I. Insects:

European Corn Borer-1 Leaf Damage (Pre-flowering): Intermediate

The above descriptions are based on a scale of 1-9, 1 being highly susceptible, 9 being highly resistant.

S (Susceptible): Would generally represent a score of 1-3.  
I (Intermediate): Would generally represent a score of 4-5.  
R (Resistant): Would generally represent a score of 6-7.  
H (Highly Resistant): Would generally represent a score of 8-9. Highly resistant does not imply the inbred is immune.

J. Variety Most Closely Resembling:

Character	Inbred
Maturity	PHJ40
Usage	PHJ40

PHJ40 (PVP Certificate No. 8600133) is a Pioneer Hi-Bred International, Inc. proprietary inbred.

Data for Items B, C, D, E, F, and G is based primarily on a maximum of two reps from Johnston, Iowa grown in 1992, plus description information from the maintaining station.

## CLARIFICATION OF DATA IN EXHIBITS C AND D

Please note the data presented in Exhibit C, "Objective Description of Variety," is data collected primarily at Johnston, Iowa plus description information from the maintaining station. The data in Exhibit D, "Additional Description of Variety," is data from comparisons of inbreds or hybrids grown in the same tests in the adapted growing area of PHAAO.



DEFINITIONS

In the description and examples, a number of terms are used herein. In order to provide a clear and consistent understanding of the specification and claims, including the scope to be given such terms, the following definitions are provided:

BAR PLT = BARREN PLANTS. This is the percent of plants per plot that were not barren (lack ears).

BRT STK = BRITTLE STALKS. This is a measure of the stalk breakage near the time of pollination, and is an indication of whether a hybrid or inbred would snap or break near the time of flowering under severe winds. Data are presented as percentage of plants that did not snap.

BU ACR = YIELD (BUSHELS/ACRE). Actual yield of the grain at harvest adjusted to 15.5% moisture. ABS is in absolute terms and % MN is percent of the mean for the experiments in which the hybrid or inbred was grown.

DRP EAR = DROPPED EARS. This is a measure of the number of dropped ears per plot and represents the percentage of plants that did not drop ears prior to harvest.

EAR HT = EAR HEIGHT. The ear height is a measure from the ground to the top developed ear node attachment and is measured in centimeters.

EST CNT = EARLY STAND COUNT. This is a measure of the stand establishment in the spring and represents the number of plants that emerge on a per plot basis for the hybrid or inbred.

GDU SHD = GDU TO SHED. The number of growing degree units (GDUs) or heat units required for an inbred line or hybrid to have approximately 50 percent of the plants shedding pollen and is measured from the time of planting. Growing degree units are calculated by the Barger Method, where the heat units for a 24-hour period are:

$$\text{GDU} = \frac{(\text{Max. temp.} + \text{Min. temp.})}{2} - 50$$

The highest maximum temperature used is 86°F and the lowest minimum temperature used is 50°F. For each inbred or hybrid it takes a certain number of GDUs to reach various stages of plant development.

GDU SLK = GDU TO SILK. The number of growing degree units required for an inbred line or hybrid to have approximately 50 percent of the plants with silk emergence from time of planting. Growing degree units are calculated by the Barger Method as given, in GDU SHD definition.

GRN APP. = GRAIN APPEARANCE. This is a 1 to 9 rating for the general quality of the shelled grain as it is harvested based on such factors as the color of the harvested grain, any mold on the grain, and any cracked grain. High scores indicate good grain quality and low scores indicate poor grain quality.

MST = HARVEST MOISTURE. The moisture is the actual percentage moisture of the grain at harvest.

PLT HT = PLANT HEIGHT. This is a measure of the height of the plant from the ground to the tip of the tassel in centimeters.

RT LDG = ROOT LODGING. Root lodging is the percentage of plants that do not root lodge; plants that lean from the vertical axis at an approximately 30° angle or greater would be counted as root lodged.

SDG VGR = SEEDLING VIGOR. This is the visual rating (1 to 9) of the amount of vegetative growth after emergence at the seedling stage (approximately five leaves). A higher score indicates better vigor and a low score indicates poorer vigor.

STA GRN = STAY GREEN. Stay green is the measure of plant health near the time of black layer formation (physiological maturity). A high score indicates better late-season plant health.

STK LDG = STALK LODGING. This is the percentage of plants that did not stalk lodge (stalk breakage) as measured by either natural lodging or pushing the stalks and determining the percentage of plants that break below the ear.

TST WT = TEST WEIGHT UNADJUSTED. The measure of weight of the grain in pounds for a given volume (bushel).

## 14E. EXHIBIT E. Statement of the Basis of Applicant's Ownership

Pioneer Hi-Bred International, Inc., Des Moines, Iowa, is the employer of the plant breeders involved in the development and evaluation of PHAA0. Pioneer Hi-Bred International, Inc. has the sole rights and ownership of PHAA0.